

Office Action Summary	Application N .	Applicant(s)
	09/863,332	CHUNG ET AL.
Examiner	Art Unit	
Dwayne K Handy	1743	

-- The MAILING DATE of this communication appears in the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) Responsive to communication(s) filed on _____.
- 2a) This action is FINAL. 2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) Claim(s) 1-4 is/are pending in the application.
 - 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) Claim(s) _____ is/are allowed.
- 6) Claim(s) 1-4 is/are rejected.
- 7) Claim(s) _____ is/are objected to.
- 8) Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on _____ is/are: a) accepted or b) objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) The proposed drawing correction filed on _____ is: a) approved b) disapproved by the Examiner.

If approved, corrected drawings are required in reply to this Office action.
- 12) The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) All b) Some * c) None of:
 1. Certified copies of the priority documents have been received.
 2. Certified copies of the priority documents have been received in Application No. _____.
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.
- 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
 - a) The translation of the foreign language provisional application has been received.
- 15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)	4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____ .
2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)	5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)
3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ .	6) <input type="checkbox"/> Other: _____ .

DETAILED ACTION

Inventorship

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.

4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 1-4 are rejected under 35 U.S.C. 103(a) as being unpatentable over Alajoki et al. (6,416,642 – “Alajoki”) in view of Laibovitz et al. (5,997,518 – “Laibovitz”). Alajoki teaches an apparatus and method for modulating flow rates in microfluidic devices. The methods for controlling flow include the use of pressure injection, wicking and electrokinetic injections. The basic embodiment of the device most relevant to the instant claims is best shown in Figure 3A and described in columns 22-24. The device contains a plurality of wells/holes (310, 315, 320 and 325) that are used to feed the microchannels (330, 335, 340, and 345). As previously mentioned, flow rates may be controlled in the device through the injection of fluids into the device by pumps and the creation of a pressure differential (column 8, line 62 – column 9, line 35). The pumps are formed or supplied at the input and output wells of the device (col. 9, line 30). Control of the pumps and/or flow within the system as well as the detection system is disclosed in columns 25-27. Also, Alajoki recites the use of pressurized gas (air flow supply) in column 9, line 18. Alajoki does not specifically recite the use of a venturi type pump.

Laibovitz et al. teach an apparatus for the dispensing of small droplets to a desired site. The device is best shown in Figure 1 and described in column 8. The device includes a main body or housing (10) with a reservoir (18) and a jet pump (or venturi – 19) for dispensing liquid. The jet pump has an inner nozzle (36) and an outer nozzle (38) through which liquid from the reservoir is injected into a gas stream to form droplets. Laibovitz teaches that the use of gas induction in this manner allows for the

delivery of small and accurate volumes of liquid in a reproducible and reliable manner (column 3, lines 63-67). It would have been obvious to combine the pump of Laibovitz with the device of Alajoki. The pump of Laibovitz would allow for the formation of metered microdroplets when injecting materials into the device.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Spence et al. (6,540,895), Fritsch et al. (US 2003/0118453), Lee et al. (6,146,103) and Holl et al. (6,576,194) teach microfluidic devices capable of reversible flow in channels. Mitchell et al. (6,109,041), Eller et al. (6,017,460), and Roos et al. (6,008,893) teach systems with reversible flow capabilities. Stehr (6,227,824) teaches a fluid pump which may operate reversibly.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dwayne K Handy whose telephone number is (703)-305-0211. The examiner can normally be reached on M-F 8:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jill Warden can be reached on (703)-308-4037. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)-308-0661.

Dkh
September 15, 2003


Jill Warden
Supervisory Patent Examiner
Technology Center 1700